

# A Compressed $\Sigma$ -Protocol Theory for Lattices

Joint work with Thomas Attema and Ronald Cramer



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***CWI Scientific Meetings, 17 June***

# About me

Jun – Dec 2015

- **Master's thesis** in the CWI Cryptology Group

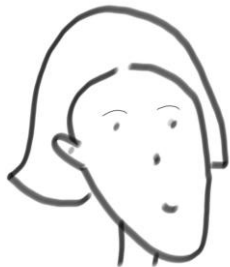
- **PhD** at Karlsruhe Institute of Technology, Germany

- **Postdoc** at Technion, Israel

Since Oct 2020

- **TT** in the Cryptology Group

**Research interest:** Practical post-quantum secure multi-party computation



**Input  
Privacy**

# Proof of Knowledge (PoK)

**Example:**

$y$ : description of a sudoku instance

$x$ : solution to sudoku



s.t.  $x$  valid solution to sudoku

**Goal:** Alice wants to convince Bob that she *knows*  $x$

# Proof of Knowledge (PoK)

This work: Constraint-Satisfiability

$f$ : description of a function  
 $x$ : input such that  $f(x) = 0$



s.t.  $f(x) = 0$

**Goal:** Alice wants to convince Bob that she *knows*  $x$

**Desired properties:**

- **Zero-knowledge:** Bob learns *nothing* beyond (in particular: doesn't learn  $x$ )
- **Succinctness:**  $|\text{Communication}| \ll |x|$

Yes (for all NP)!

“PCP theorem” AroraSafrá'92,  
AroraLundMotwaniSudanSzedeqy'92

Yes (for all NP)!

GoldwasserMicaliRackoff'85

Possible?

Practical?

# Proof of Knowledge (PoK)

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PCP-based approaches have  
*inherently* high concrete overhead

**Alternative:** Use “Bulletproof” folding  
[BCC+'16, BBB+'18, AC'20]

**Problem:** Not quantum-  
safe!

# Part I: Compressed $\Sigma$ -Protocols [AttemaCramer'20]

# Compressed $\Sigma$ -protocols [AC'20]

- **Fact:** Can write every function  $f$  as **arithmetic circuit** of *addition* (linear) and *multiplication* (non-linear) gates

- **High-level paradigm:**

Solve linear instances first, and then linearize non-linear instances

1. **PoK for linear constraints**  $f(\mathbf{x}) = \langle \mathbf{L}, \mathbf{x} \rangle$  from *homomorphic commitments*
2. **Communication**  $\sim \log |\mathbf{x}|$  via *adaptation of Bulletproof PoK* [BCC+'16, BBB+'18]
3. **PoK for arbitrary constraints** via *arithmetic secret sharing*

# (Succinct) Homomorphic Commitments




**Commitment scheme:** Commit to  $x$  via  such that:

- **Hiding:**  hides  $x$
- **Binding:**  can only be opened to  $x$

**Simplified:** Given  $x$  can verify if commitment is commitment to  $x$

**In this talk:**  $x$  from large space, infeasible to guess

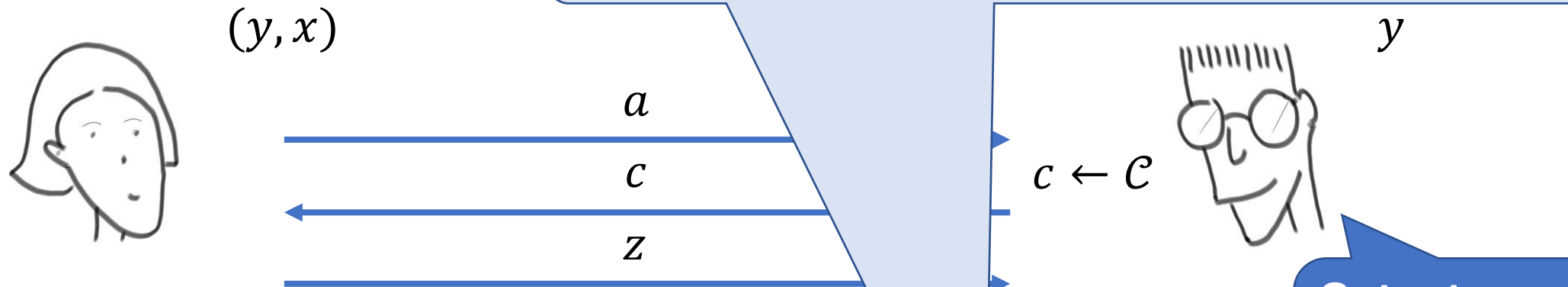
**Additional required properties:**

• **Homomorphic:**  +  $c \cdot$   = 

• **Succinct:**  $\left| \text{envelope}(x) \right| \ll |x|$



# $\Sigma$ -Protocols [Cramer'96]



- **3-move protocol:**

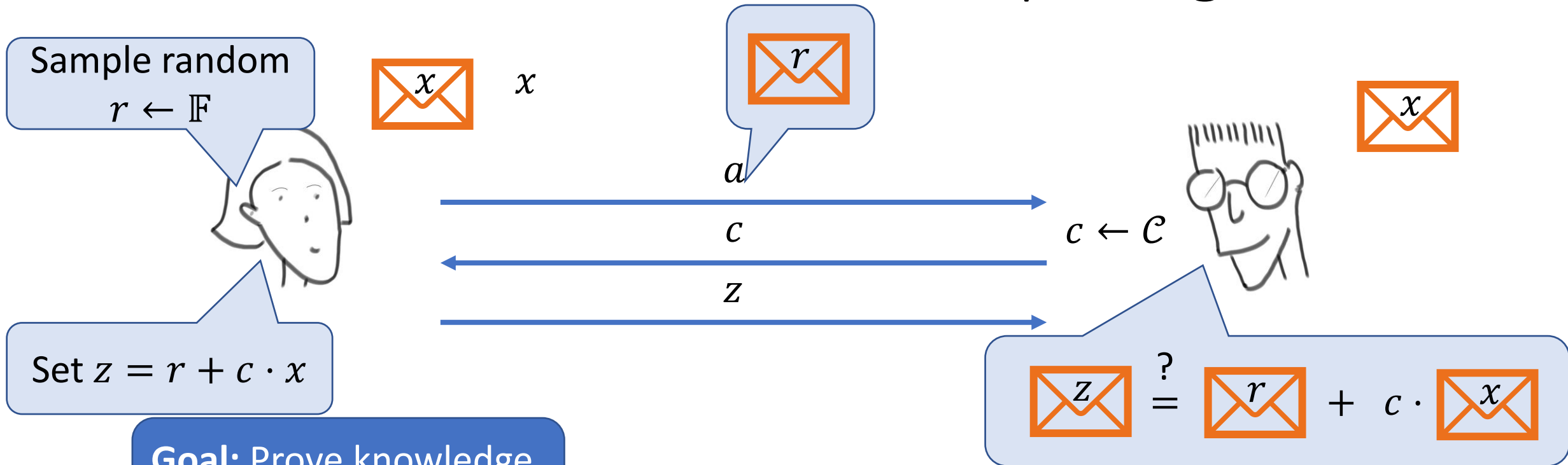
- **Completeness:** If the prover is honest and knows  $x$ , the verifier always accepts
- **(Honest verifier) zero knowledge:** An accepting transcript can be efficiently simulated
- **(Special) soundness:** Given accepting transcripts  $(a, c, z), (a, c', z')$  one can efficiently extract a witness  $x$

**Knowledge error:**  $1/|\mathcal{C}|$

If the prover can successfully answer on two different challenges it must *know* the witness

**Output:**  
0: reject, or  
1: accept

# $\Sigma$ -Protocols for Commitment Opening



Goal: Prove knowledge of opening  $x \in \mathcal{X}$

- **First attempt:** Send  $x$
- **Second attempt:** Send random  $r$

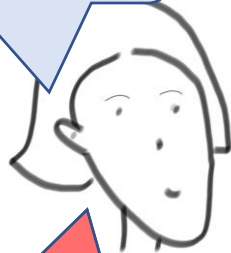
Cannot be efficiently simulated  
→ not zero knowledge

Does not allow to extract witness  
→ not special sound

# Towards Compressed $\Sigma$ -Protocols

Goal: Prove knowledge of opening  $x \in \mathbb{F}^n$

Sample random  $r \leftarrow \mathbb{F}^n$



$x$



$r$



$c$

$c \leftarrow \mathcal{C}$





$z$



Set  $z = r + c \cdot x$

New challenge!

$$\text{Envelope } z \stackrel{?}{=} \text{Envelope } r + c \cdot \text{Envelope } x$$

- **Problem:**  $|z| = |x| = n \cdot \log |\mathbb{F}|$
- **Idea:** “Fold”  $z = (z_1, z_2)$  as  $z' := z_1 + d \cdot z_2$  and send  $z'$
- **Problem:** Bob can compute  but not 

How to verify??

# Folding Commitments [BCC+'16, BBB+'18]

- **Recall:**  $\mathbf{z}' := \mathbf{z}_1 + d \cdot \mathbf{z}_2$

$$\boxed{\mathbf{z}} = \boxed{\begin{pmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \end{pmatrix}}$$

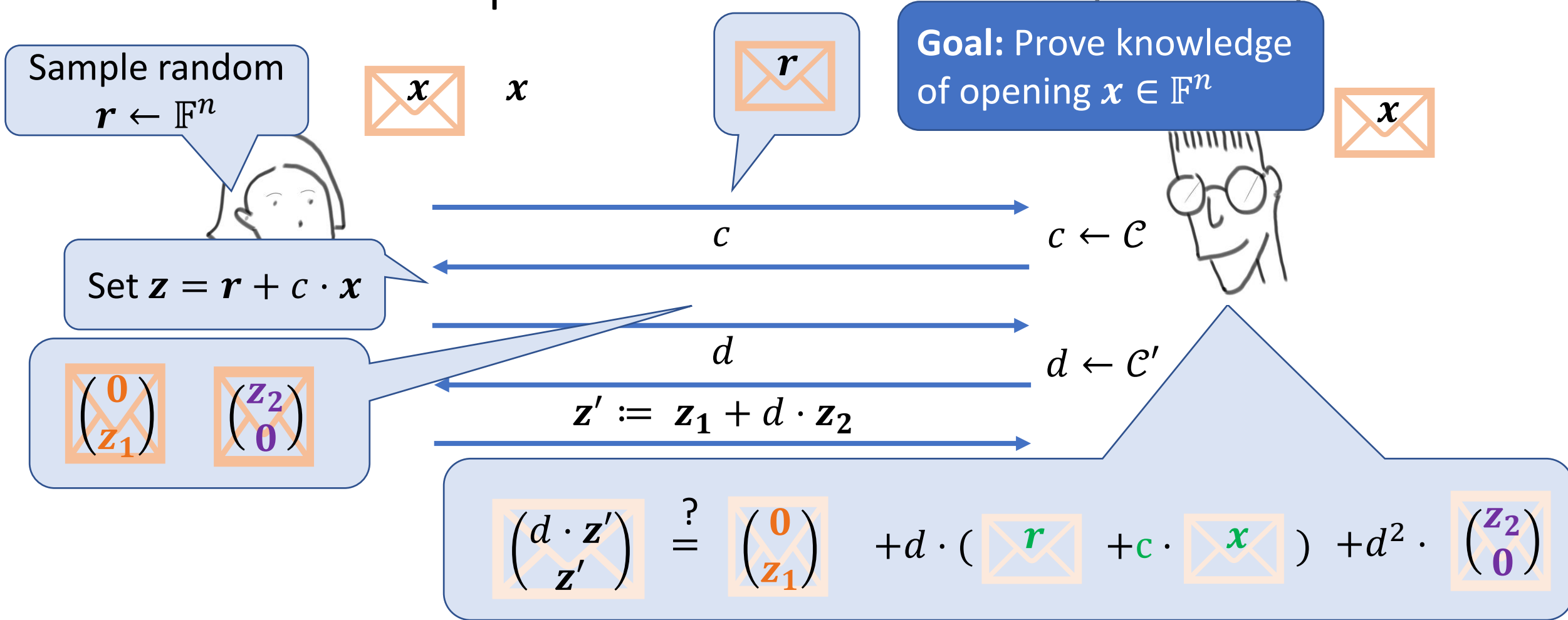
Can be computed from transcript

- **Observation:**

$$\boxed{\begin{pmatrix} d \cdot \mathbf{z}' \\ \mathbf{z}' \end{pmatrix}} = \boxed{\begin{pmatrix} d \cdot (\mathbf{z}_1 + d \cdot \mathbf{z}_2) \\ \mathbf{z}_1 + d \cdot \mathbf{z}_2 \end{pmatrix}} = \boxed{\begin{pmatrix} \mathbf{0} \\ \mathbf{z}_1 \end{pmatrix}} + d \cdot \boxed{\begin{pmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \end{pmatrix}} + d^2 \cdot \boxed{\begin{pmatrix} \mathbf{z}_2 \\ \mathbf{0} \end{pmatrix}}$$

Have to be provided by prover

# Towards Compressed $\Sigma$ -Protocols [AC'20]



- After  $\log n$  repetitions: Communication  $\approx \log n \cdot \log|\mathbb{F}|$  (in  $\log n$  rounds)

# Instantiating Compressed $\Sigma$ -Protocols [AC'20]

- **Discrete logarithm, strong-RSA:** (poly)logarithmic communication
- **Knowledge of exponent assumption:** constant communication
- **Assumptions in pairing groups:** direct ZK for bilinear circuits [ACR'20]

⇒ All broken by quantum computer

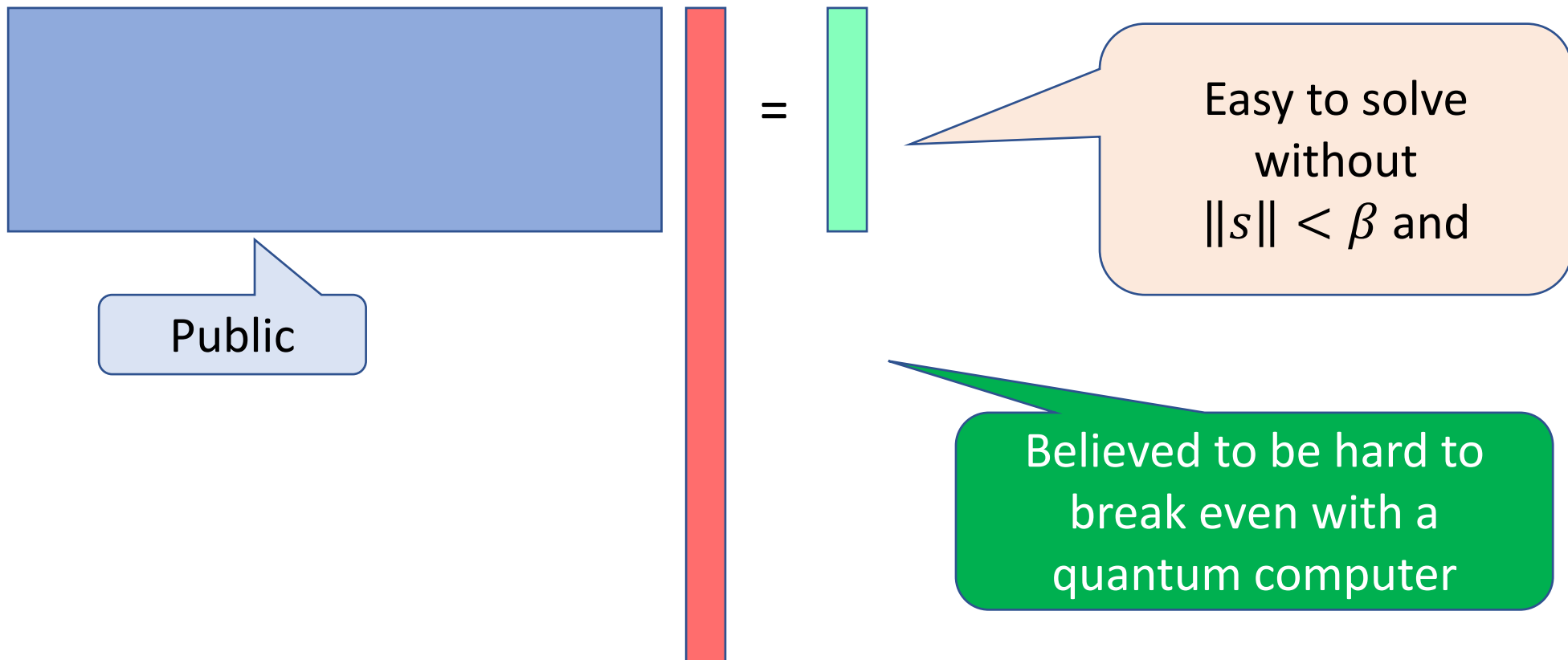
## Towards quantum-safe $\Sigma$ -protocol theory:

- Have to build on **quantum-safe** assumption

## Part II: Compressed $\Sigma$ -Protocols from Lattices

# (Module-)Short Integer Solution ((M-)SIS)

- **(Module-)SIS Assumption:** It is difficult to find short integer solution  $s$  with  $\|s\| < \beta$  and  $A \cdot s = 0$  (over ring  $R := \mathbb{Z}_q [X]/(f(X))$ ).





# Homomorphic Commitments from MSIS



=




Simplified

Has to be small, e.g., in binary representation

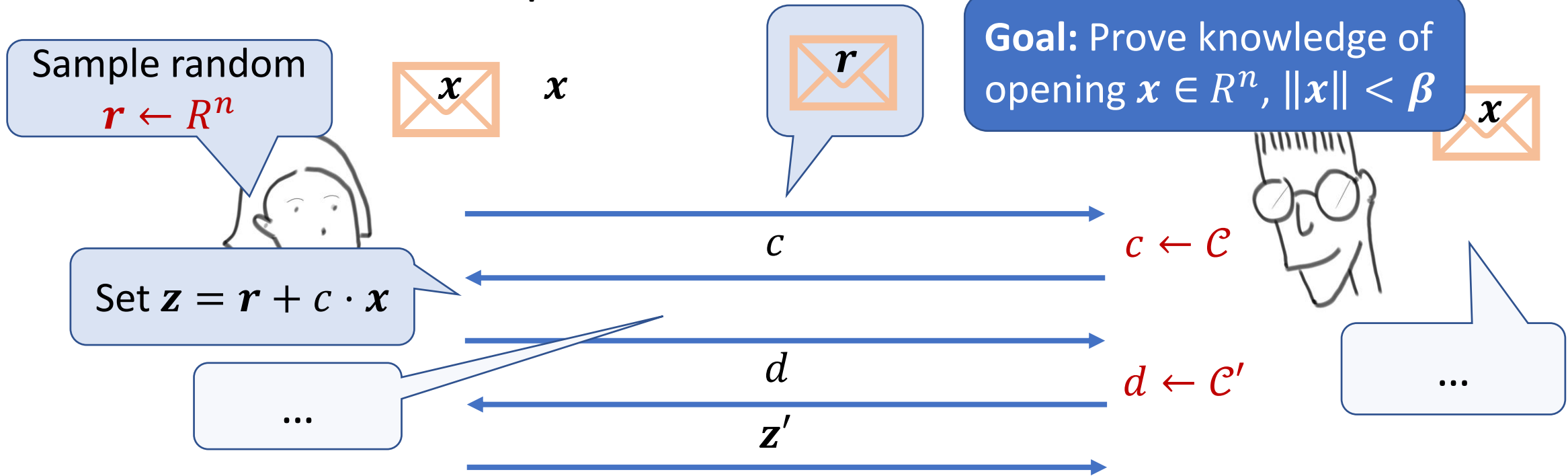
- **Hiding:** ✓ (when using randomness)
- **Binding:** ✓ (based on MSIS)
- **Homomorphic:** ✓
- **Succinct:** ✓

# This Work

Lattice-based instantiation of compressed  $\Sigma$ -protocol theory

- **Idea:** Instantiate  with MSIS-based commitment scheme  
⇒ general constraint zero-knowledge with (poly-)logarithmic communication?
- **What goes wrong?**

# Towards Compressed $\Sigma$ -Protocols for Lattices



- **Problem:** Protocol allows to extract  $x'$  s.t.  $\text{envelope}(x') = \text{envelope}(x)$
- **(Standard-)Solution:** change distribution of  $r, c, d$

In particular: Require  $(c - c')^{-1}$  small for all  $c, c' \in \mathcal{C}$

Without additional guarantee  $\|x'\| < \beta'$  meaningless!!

# Towards Compressed $\Sigma$ -Protocols for Lattices

**Require:** Require  $(c - c')^{-1}$  small for all  $c, c' \in \mathcal{C}$

$|\mathcal{C}|$  small (e.g.,  $\mathcal{C}=\{0,1\}$ )

Knowledge error  $1/|\mathcal{C}|$  large!!

Need sequential or parallel repetition

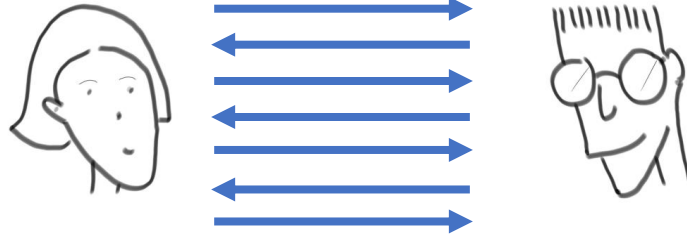
- **Main challenge:**

- $\log n$ -round  $\Sigma$ -protocols more challenging than 3-round  $\Sigma$ -protocols

- **Previously:**

- No *tight extractor analysis* for  $\log n$ -round  $\Sigma$ -protocols
- No suitable *parallel repetition theorem* for multi-round PoKs

# Summary



## Open questions:

- Improve concrete parameters
- Give quantum proof of security

## Compressed $\Sigma$ -protocols for lattices:

- **Motivation:** Practical quantum-safe succinct zero-knowledge PoK
- **This work:**
  - **Abstract framework** to uniformize & simplify analysis
  - **Tight extractor analysis** (also improving non-lattice instantiations)
  - **New parallel repetition** theorem for PoKs (recently improved by [AttemaFehr'21])
  - **Adaptation of linearization techniques** to work over rings

**Thank you!!**