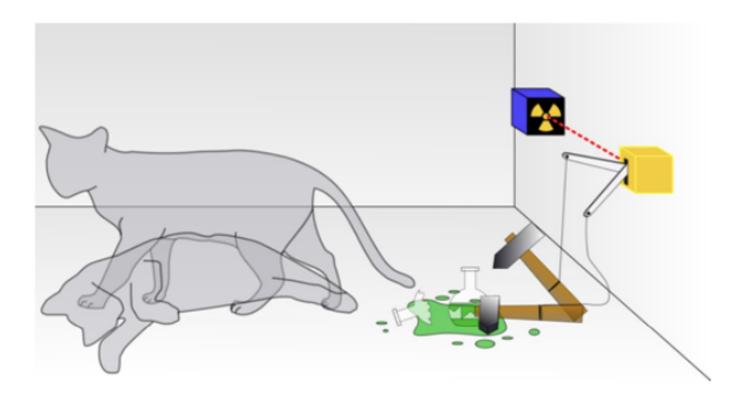
### Stochastic optimal control of open quantum systems using path integral methods

Bert Kappen Faculty of Science Radboud University Nijmegen The Netherlands

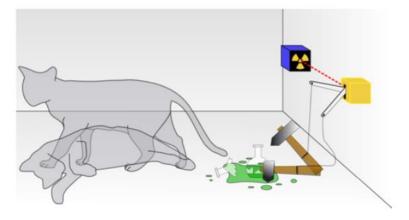
### The quantum picture



A quantum state  $\psi(s)$  represents possible outcomes *s* simultaneously.

### The quantum picture

A quantum state  $\psi(s)$  represents possible outcomes *s* simultaneously.



Define a procedure to map a probability distribution to a quantum state:

$$q(s) \quad \leftrightarrow \quad \psi(s)$$

Estimate expected values by performing repeated measurement on the same quantum state.

### Quantum advantage

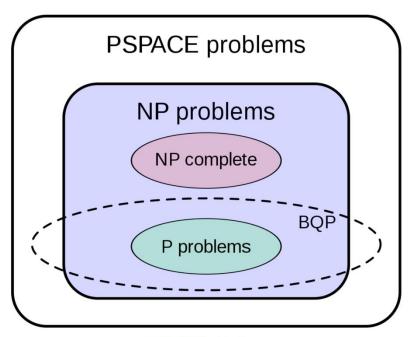


Image credits: wikipedia.org

P: solvable in poly time NP: solution verifiable in poly time Pspace: solvable with poly memory BQP: Bounded Quantum Polynomial

It is conjectured that BQP solves hard problems outside of P, specifically, problems in NP. Examples are

Integer factorization (Shor's algorithm)

$$\mathcal{O}\left(e^{N^{\frac{1}{3}}}\right) \to \mathcal{O}\left(N^{2}\right)$$

- Solving sparse linear system (HHL)
- $\mathcal{O}\left(N\right) \to \mathcal{O}\left(\log N\right)$



2025	2026	2027	2028	2029	2033+
Enhance quantum execution speed and parallelization with partitioning and quantum modularity	Improve quantum circuit quality to allow 7.5K gates	Improve quantum circuit quality to allow 10K gates	Improve quantum circuit quality to allow 15K gates	Improve quantum circuit quality to allow 100M gates	Beyond 2033, quantum-centric supercomputers will include 1000's of logical qubits unlocking the full power of quantum computing
Functions	Mapping collections	Specific libraries			General purpose QC libraries
Resource management	Circuit knitting x p	Intelligent orchestration			Circuit libraries
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A THE REPORT OF THE PARTY OF TH		and the second	Flamingo (15K)	Starling (100M)	and the second filler
management	knitting x p Flamingo	orchestration			libraries Blue Jay
management Flamingo (5K)	knitting x p Flamingo (7.5K)	orchestration Flamingo (10K)	(15K) <sup>–</sup>	(100M)	libraries Blue Jay (1B)
management Flamingo (5K) Error mitigation 5k gates	knitting x p Flamingo (7.5K) Error mitigation 7.5k gates	orchestration Flamingo (10K) Error mitigation 10k gates	(15K) Error mitigation 15k gates	(100M) Error correction 100M gates 200 qubits Error corrected	libraries Blue Jay (1B) Error correction 1B gates 2000 qubits Error corrected
management Flamingo (5K) Error mitigation 5k gates 156 qubits	knitting x p Flamingo (7.5K) Error mitigation 7.5k gates 156 qubits	orchestration Flamingo (10K) Error mitigation 10k gates 156 qubits	(15K) Error mitigation 15k gates 156 qubits	(100M) Error correction 100M gates 200 qubits	libraries Blue Jay (1B) Error correction 1B gates 2000 qubits

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IBM Quantum

### Quantum Computing in the NISQ era and beyond

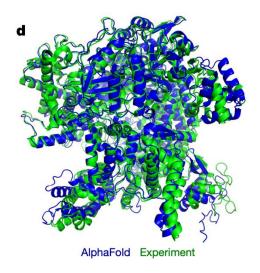
#### John Preskill

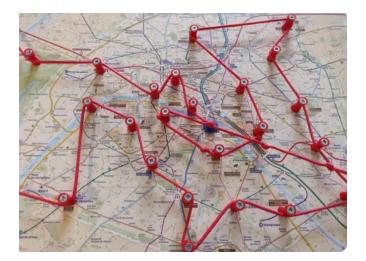
Institute for Quantum Information and Matter and Walter Burke Institute for Theoretical Physics, California Institute of Technology, Pasadena CA 91125, USA 30 July 2018

Noisy Intermediate-Scale Quantum (NISQ) technology will be available in the near future. Quantum computers with 50-100 qubits may be able to perform tasks which surpass the capabilities of today's classical digital computers, but noise in quantum gates will limit the size of quantum circuits that can be executed reliably. NISQ devices will be useful tools for exploring many-body quantum physics, and may have other useful applications, but the 100-qubit quantum computer will not change the world right away — we should regard it as a significant step toward the more powerful quantum technologies of the future. Quantum technologists should continue to strive for more accurate quantum gates and, eventually, fully fault-tolerant quantum computing.

# Quantum state preparation

- Prepare a quantum state that
  - Represents the ground state of a complex molecule (drug design, material design)
  - Solves a complex optimization problem (traveling salesman problem)
  - Sample from a complex distribution (statistical problems)





#### **Quantum variational algorithms**

Most famous example is the variational quantum eigensolver VQE to find the ground state of a Hamiltonian

$$\min_{\theta} \frac{\langle \psi | H\psi \rangle}{\langle \psi | \psi \rangle} \qquad |\psi \rangle = U(\theta) | 0 \rangle$$

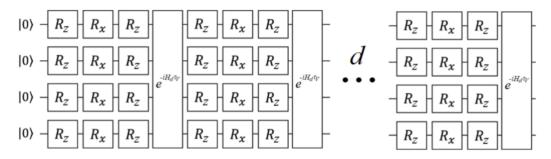
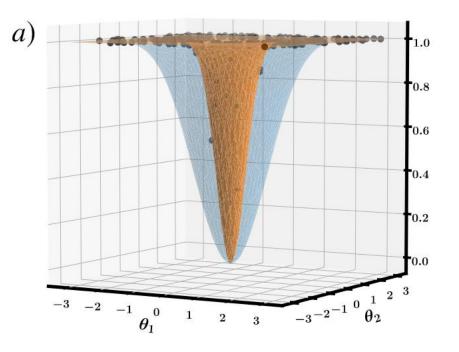


Figure 1a: Gate-based quantum circuit

 $\theta$  is found by minimizing  $R(\theta) = \frac{\langle \psi | H \psi \rangle}{\langle \psi | \psi \rangle}$  with  $| \psi \rangle = U(\theta) | 0 \rangle$  with respect to  $\theta$  using gradient descend.

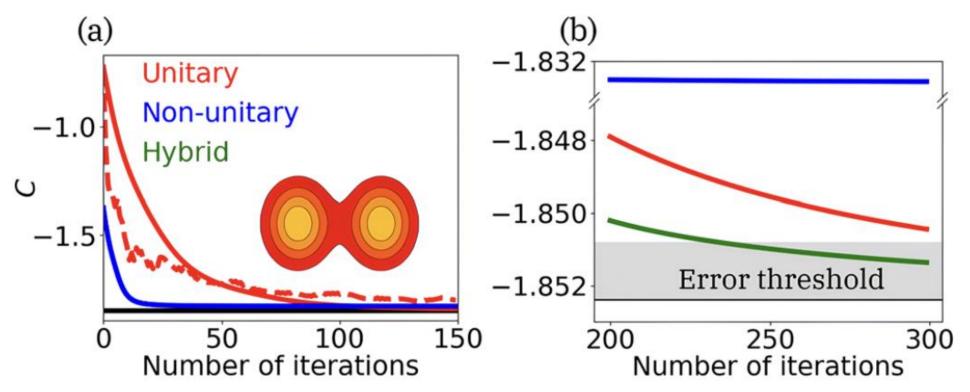
### **Barren plateaus**

 $\theta$  is found by minimizing  $R(\theta) = \frac{\langle \psi | H \psi \rangle}{\langle \psi | \psi \rangle}$  with  $| \psi \rangle = U(\theta) | 0 \rangle$  with respect to  $\theta$  using gradient descend.



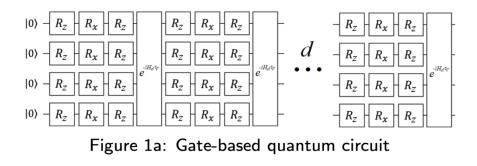
Gradients vanish almost everywhere for large problems

### Noise improves convergence



Engineered dissipation to mitigate barren plateaus Sannia et al. 2024

### Optimal control for analog quantum circuits



$$|\psi_0\rangle \rightarrow \frac{d}{dt}|\psi\rangle = -iH(u)|\psi\rangle \rightarrow |\psi_f\rangle$$

with  $H(u) = H_0 + \sum_k u_k H_k$ . Find optimal  $u_k$ .

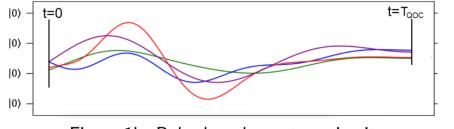
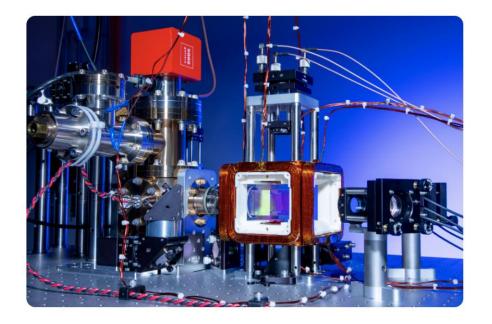


Figure 1b: Pulse-based quantum circuit

Analog controlled superconducting qubits have significantly shorter circuit times:

H2, HeH+, 2 qubits: 9 ns versus 500-800 ns LiH, 4 qubits: 40 ns versus 3.500-82.000 ns T1,T2 times of IBMQ are order 70.000 ns on average

(Meitei et al. 2021)



# Quantum computing with neutral atoms

QuEra's quantum computing technology uses lasers to arrange and excite individual neutral atoms into highly energetic states. These excited-atom qubits naturally interact at a distance, enabling entanglement and a multi-qubit connectivity that can be turned on and off at will. As atomic positions can be rearranged from one calculation to the next, these processors present extremely flexible and programmable layouts for their users. The ease of assembly and control, and the strong quantum coherence properties of neutral atoms, uniquely positions the technology to access new frontiers in simulating large quantum systems, exploring quantum optimization, and sampling.

#### **QuEra's Aquila processor**

Aquila is QuEra's first generation of quantum processing units (QPU) available on Amazon Braket. It operates up to 256 qubits in analog mode. The qubits have long lifetimes, supp tens of qubit flips before decoherence sets in.

# Approach

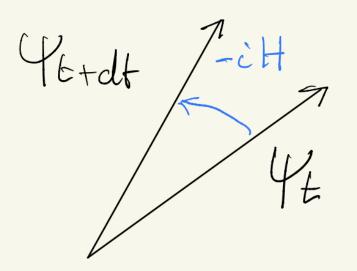
- Stochastic optimal control of analog open quantum systems
  - Analog yields shorter circuits
  - Stochastic yields better optimization
- Unravelings aka quantum trajectories
- Quantum state preparation as a stochastic optimal control problem
  - path integral control formulation

# Outline

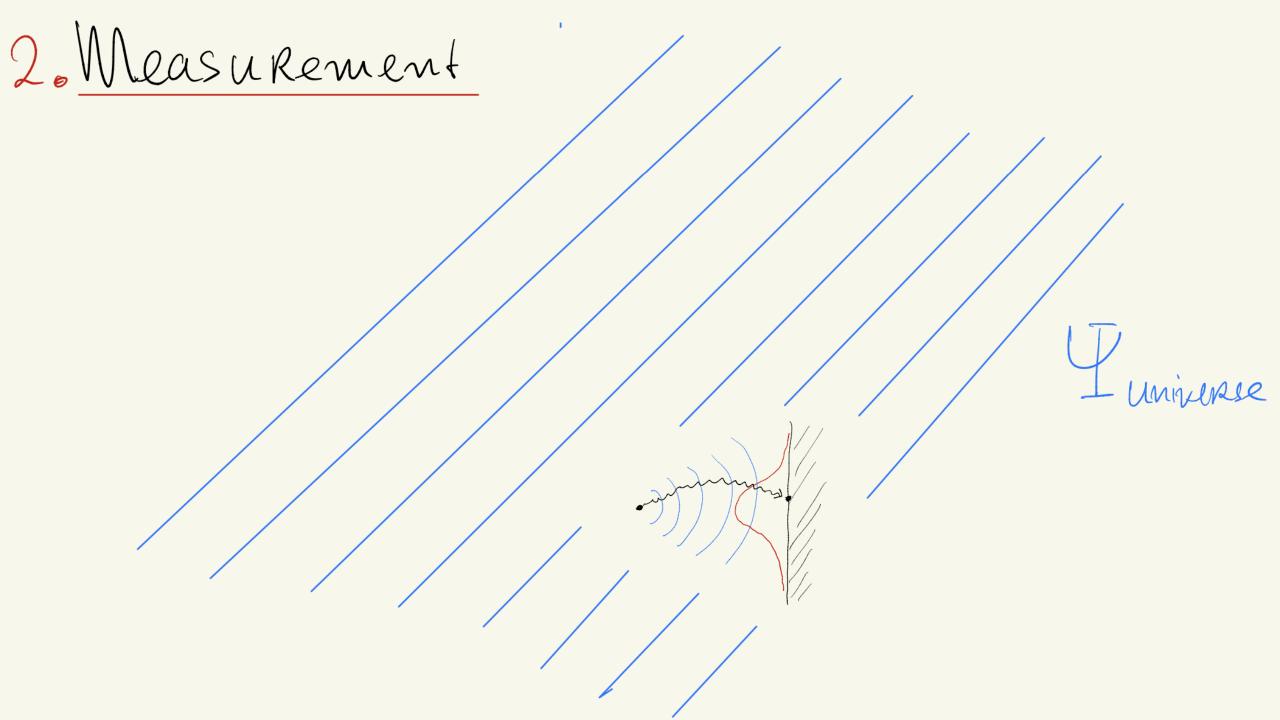
- Open quantum systems
  - Unravelings
- Stochastic optimal control
  - Path integral control
- Stochastic optimal control of open quantum systems
  - Examples of quantum state preparation

# Unitary evolution is notation in Hilbert Space

 $\frac{\partial \psi}{\partial t} = -i H \psi$ 



Linear and deterministic



Density Matrix

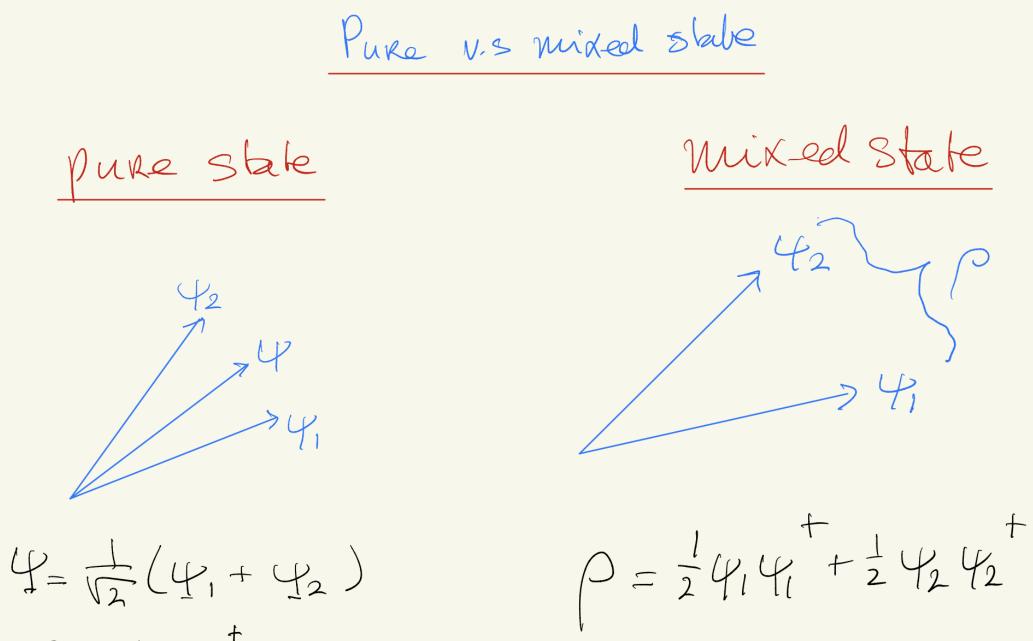
d 14>= -iH14> P=14><41  $\frac{d}{dt} p = -i [H, p]$ 

p is called a pure state

Mixed State

 $0 \sim 0 \sim 0$  $w_1, b_1 \qquad (w_2, b_2)$  $|\psi\rangle = |w_{i};w_{2}\rangle + |b_{i};b_{2}\rangle$ p = 14> < 41Votal system is in a pure state p

P,= "average" (p)  $=\frac{1}{2}|\omega_{1}\rangle\langle\omega_{1}|+\frac{1}{2}|b_{1}\rangle\langle b_{1}|$ Subsystem is in a mixed state pi



 $P = \Psi \Psi^{\dagger}$ 

### The Lindblad equation

When coupling between system and environment is weak and environment is sufficiently large<sup>1</sup>:

$$\dot{\rho} = \underbrace{-i[H,\rho]}_{\text{unitary part}} + \underbrace{D_{kl} \left( C_k \rho C_l^{\dagger} - \frac{1}{2} \{ C_l^{\dagger} C_k, \rho \} \right)}_{\text{coupling to environment}}$$

Examples of Lindblad operators are measurement operators in which case  $C_k$  is Hermitian, or dissipation operators such as  $\sigma_{\pm}$  for a single qubit.

### Unravelings

Define the stochastic Schrödinger equation

$$d\psi = -iH\psi dt - \frac{1}{2}D_{kl}\left(C_l^{\dagger}C_k - 2c_kC_l + c_kc_l\right)\psi dt + (C_k - c_k)\psi d\xi_k$$

 $d\xi_k$  is a real-valued Wiener process with  $\langle d\xi_k \rangle = 0$  and  $\langle d\xi_k d\xi_l \rangle = D_{kl} dt$ .

$$c_k = \psi^{\dagger} C_k^{(h)} \psi$$
 real-valued make SSE non-linear and  $d \|\psi\|^2 = 0$ .

This defines an unraveling of the Lindblad equation, meaning that the expectation  $\rho = \langle \psi \psi^{\dagger} \rangle$  satisfies the Lindblad equation.

$$\dot{\rho} = -i[H,\rho] + D_{kl} \left( C_k \rho C_l^{\dagger} - \frac{1}{2} \{ C_l^{\dagger} C_k, \rho \} \right)$$

#### Measuring a single qubit

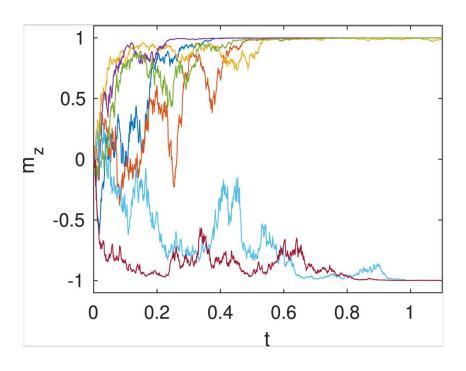
Consider a single qubit with H = 0 and  $C = \hat{\sigma}_z$  and D = 1.

The Lindblad equation is

 $\dot{\rho} = \hat{\sigma}_z \rho \hat{\sigma}_z - \rho$ 

The SSE is

$$d\psi = -\frac{1}{2}(\hat{\sigma}_z - m_z)^2 \psi dt + (\hat{\sigma}_z - m_z)\psi d\xi$$
$$dm_z = 2(1 - m_z^2)d\xi$$

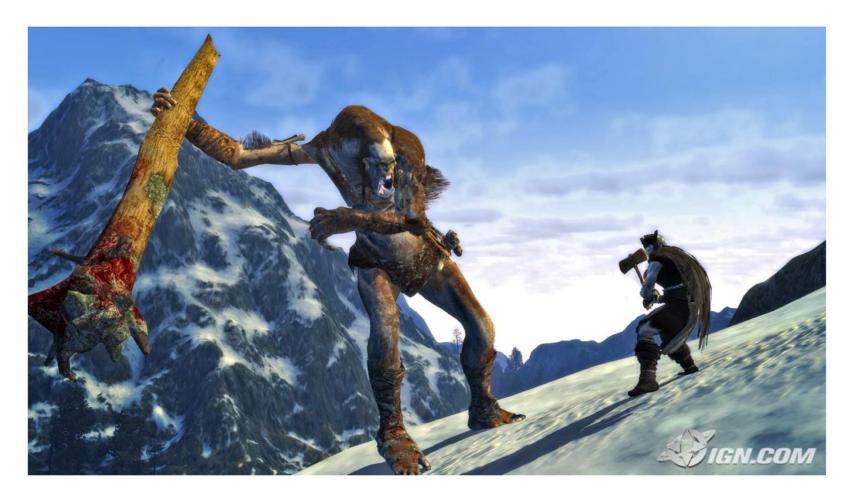


with  $m_z = \psi^{\dagger} \hat{\sigma}_z \psi$  and  $\left\langle d\xi^2 \right\rangle = dt$ 

Note, that  $\hat{\sigma}_z \rightarrow i \hat{\sigma}_z$  leaves Lindblad equation invariant but makes the unraveling linear

$$d\psi = -\frac{1}{2}\psi dt + i\hat{\sigma}_z \psi d\xi \qquad dm_z = 0$$

### **Optimal control theory**



Given a current state and a future desired state, what is the best/cheapest/fastest way to get there.

#### **Stochastic optimal control**

Consider a stochastic dynamical system

 $dx = f(t, x, u)dt + g(t, x, u)d\xi$ 

 $d\xi$  Gaussian noise  $\langle d\xi d\xi' \rangle = v dt$ .

The cost is an expectation:

$$C_u(t,x) = \left\langle \phi(x(T)) + \int_t^T d\tau V(t,x(t),u(t,x)) \right\rangle_u$$

over all stochastic trajectories with control function u starting at t, x.

The optimal cost to go:  $J(t, x) = \min_u C_u(t, x)$  satisfies the Bellman equation

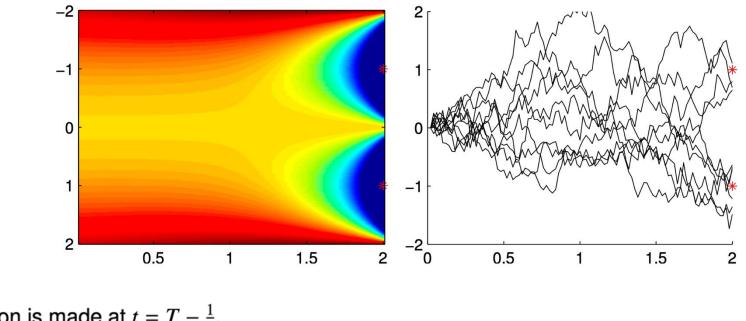
$$-\partial_t J = \min_u \left( V + f \nabla J + \frac{1}{2} \nu \operatorname{Tr}(g \nu g' \nabla^2) J \right)$$

is a PDE with boundary condition  $J(\cdot, T) = \phi(\cdot)$ .

### **Delayed choice**

Compute the optimal control in *x*, *t* when

$$dx = udt + d\xi \qquad C_u(t, x) = \left\langle \phi(x_T) + \int_t^T ds \frac{1}{2} u^2 \right\rangle_u$$



Decision is made at  $t = T - \frac{1}{v}$ .

#### Path integral (PI) control methods

$$dx = f(t, x)dt + g(t, x)(u(t, x)dt + d\xi) \qquad \langle d\xi \rangle = vdt$$

$$C_u(t, x) = \langle S_u(\tau) \rangle_u \qquad S_u(\tau) = \phi(x(T)) + \int_t^T ds V(t, x) + \frac{1}{2}u'Ru + u'Rd\xi$$

with  $\tau = x_{t:T}$  a trajectory starting at *t*, *x*.

When  $R = \lambda v^{-1}$  the Bellman equation can be linearized by a log transform. The solution is given as a path integral

$$J(t, x) = -\lambda \log \left\langle e^{-S_u(\tau)/\lambda} \right\rangle_u$$
$$u^*(t, x) = u(t, x) + \lim_{dt \downarrow 0} \frac{1}{dt} \frac{\left\langle d\xi_t e^{-S_u(\tau)/\lambda} \right\rangle}{\left\langle e^{-S_u(\tau)/\lambda} \right\rangle}$$

The optimal control solution can be obtained by sampling [Kappen, 2005, Thijssen and Kappen, 2015].

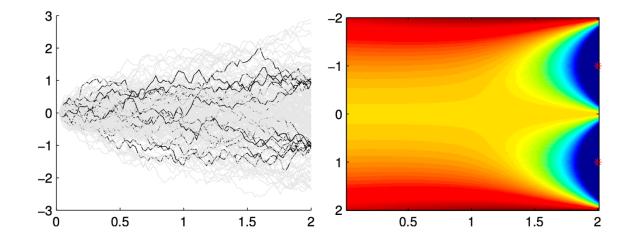
### **Delayed choice**

Compute the optimal control in *x*, *t* when

$$dx = udt + d\xi \qquad C_u(t, x) = \left\langle \phi(x_T) + \int_t^T ds \frac{1}{2} u^2 \right\rangle_u$$

Solution

$$J(t, x) = -\nu \log \left\langle e^{-\phi(x_T)/\nu} \right\rangle_{u=0} \qquad u(t, x) = -\nabla J(t, x)$$





2560, 2.5 second trajectories sampled with cost-weighted average @ 60 Hz



Non-linear stochastic optimal control solution computed in real time (Williams et al. 2016)



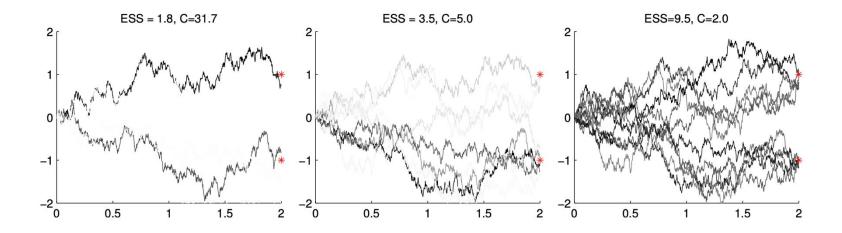
#### PI needs importance sampling

$$J(x,t) = -\lambda \log \left\langle e^{-S_u(\tau)/\lambda} \right\rangle_u \qquad w_i = \frac{e^{-S_u(\tau_i)/\lambda}}{\sum_{j=1}^N e^{-S_u(\tau_j)/\lambda}} \qquad N_{ESS} = \left(\sum_{i=1}^N w_i^2\right)^{-1}$$

All *u* are unbiased estimators, but with some are more effective (smaller variance):

- Better u (smaller C) is better sampler (smaller variance, higher  $N_{ESS}$ )

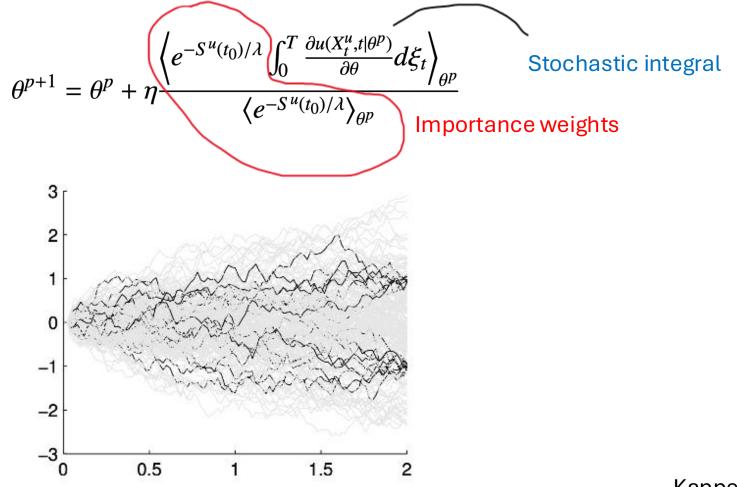
- Optimal *u* (minimal *C*) is optimal sampler (zero variance,  $N_{ESS} = N$ )



Thijssen Kappen 2015

#### Adaptive importance sampling (PICE)

With parametrized control  $u(x, t|\theta)$ , adaptive importance sampling improves the control solution  $\theta^p \to \theta^{p+1}$ , starting with some initial control solution  $\theta^0$ .



Kappen et al. 2015

#### **Control of the Lindblad equation**

Define  $H = H_0 + u_k H_k$ . The (deterministic) control problem is

$$\dot{\rho}_t = -i[H,\rho_t] + D_{kl} \left( C_k \rho_t C_l^{\dagger} - \frac{1}{2} \{ C_l^{\dagger} C_k, \rho_t \} \right)$$

$$C(\rho_0, u) = \operatorname{Tr}(G\rho_T) + \int_0^T \frac{1}{2} u_t' R u_t$$

with G some targer Hamiltonian.

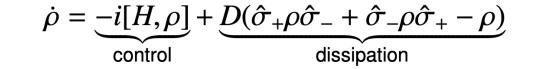
When the  $C_k$  can be transformed into anti-Hermitian operators  $\tilde{C}_k = -iH_k$  and  $\tilde{D} > 0$ , the stochastic optimal control problem is of the path integral form.<sup>3</sup>

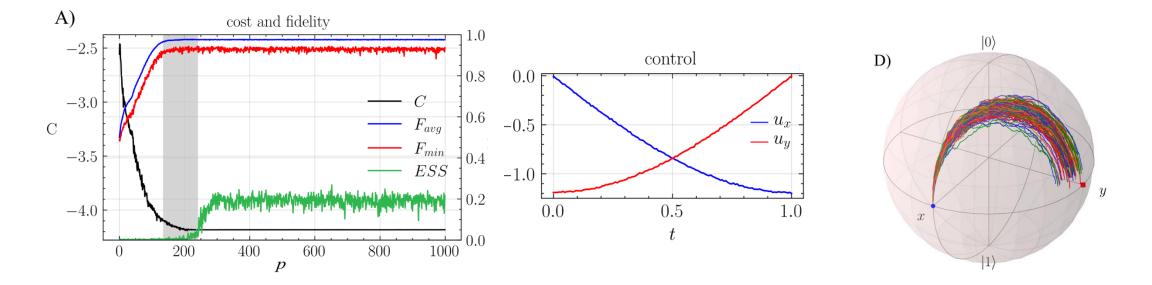
$$d\psi_t = -iH_0\psi_t dt - \frac{1}{2}\tilde{D}_{kl}H_lH_k\psi_t dt - i(u_k dt + d\tilde{\xi}_k)H_k\psi_t$$
$$C(\psi_0, u) = \left\langle \psi_T^{\dagger}G\psi_T + \frac{1}{2}\int_0^T u_t'Ru_t dt \right\rangle_u$$

<sup>3</sup>In the case of feedback control, the control problem depends on the unraveling.

### **Control of one qubit**

Unitary control  $H = u_x \hat{\sigma}_x + u_u \hat{\sigma}_y$  and dissipation  $\hat{\sigma}_+$  and  $\hat{\sigma}_-$  has Lindblad equation

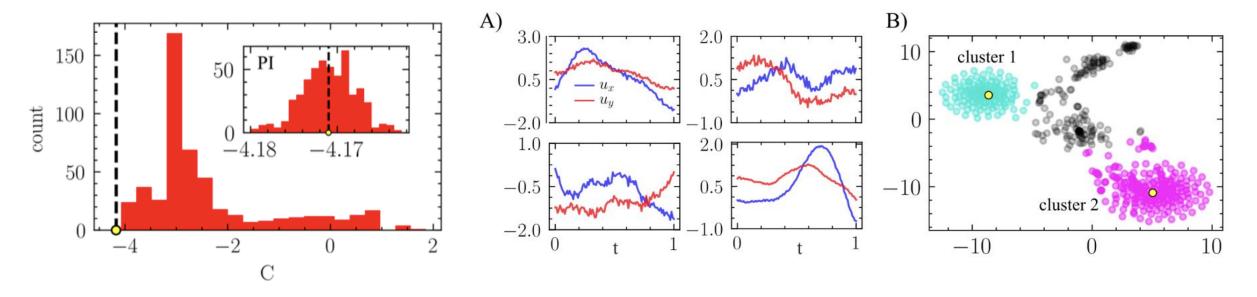




K=128, n\_traj= 400. mean asymptotic fidelity = 0.9759

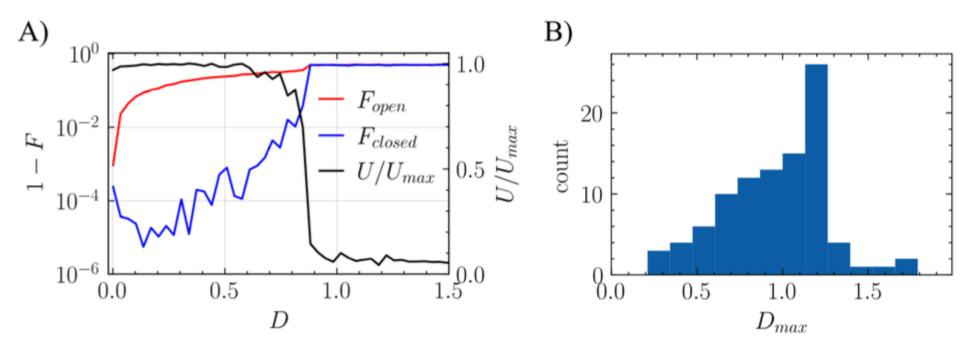
# QDC versus Open GRAPE

(Open) GRAPE (Boutin 2017) solves the deterministic control problem using the Lindblad equation. It requires large number of pulses for accurate approximation of gradient



Histogram of 505 different initializations. Some Open GRAPE solutions. Clustering of solutions

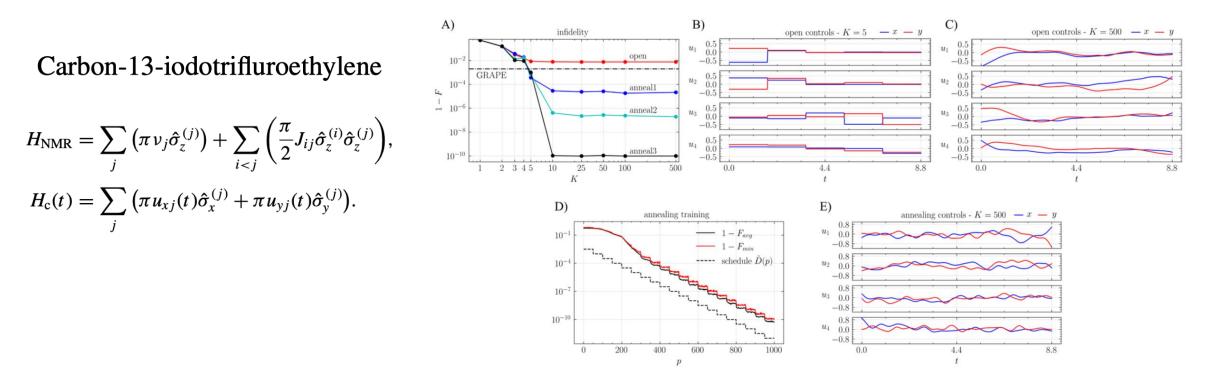
The sweet spot: Stochastic optimal control solution as a proxy for deterministic optimal control solution



Left: X -> Y. Reaches F>0.98 for D< 0.8. Right: X -> Haar. Reaches F > 0.98 for all instances, often with large noise

## NMR physics preparation of n=4 GHZ state

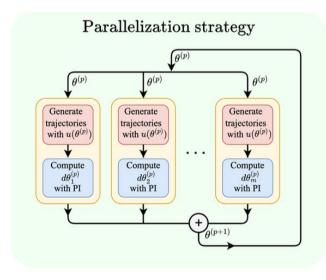
Use nuclear spins of small molecules as coupled qubits.

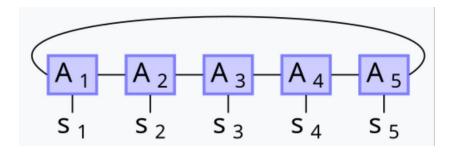


GRAPE needs large number of pulses for accuracy (1760) and Fidelity 0.998 (Chen et al. 2023). QDC has no such requirement (32). Annealing yields infidelity 1e-10.

## Scaling up: classical computing

$$u_k^{(p+1)} = u_k^{(p)} + \sum_{i=1}^N w_i \int_{\tau_k}^{\tau_{k+1}} d\xi_t^i$$





Tensor networks for efficient representation of large wave functions

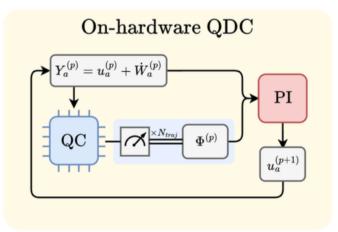
Parallelization of importance sampling is very efficient

# Scaling up: quantum computing

- Scalability requires that the parameter optimization is executed on a quantum device.
  - This holds for VQE for digital circuits
  - This does not hold for optimal control methods for analog quantum devices (Grap, Crab)
  - QDC can be optimized on quantum hardware

## Scaling up: quantum computing

- QDC on quantum device:
  - Weights depend on noise trajectory and end cost
  - End cost obtainable from measurement
  - The noise realization is unknown
- Approach
  - Assume noiseless device
  - provide the noise externally
  - Exploit sweet spot idea



$$u_k^{(p+1)} = u_k^{(p)} + \sum_{i=1}^N w_i \int_{\tau_k}^{\tau_{k+1}} d\xi_t^i$$

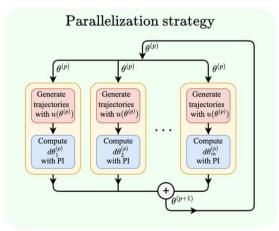
# Summary

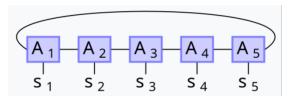
- Analog quantum computing is promising alternative for digital quantum circuits
  - Shorter circuit times
- Open quantum system approach is promising to mitigate barren plateaus
- New control framework for open analog quantum systems based on unravelings and path integral control.
  - Provides quadratic speed-up
  - Exploits quantum-noise sweet spot
  - Outperforms deterministic control methods
  - Scalable on quantum computers

# Future work

- Application on analog quantum devices
- Scale up quantum simulation
  - Tensor networks
  - Parallel hardware, GPUs
- Benchmark studies comparing analog and digital circuits (quantum chemistry, QUBO)
- (Optimization of ) quantum annealing







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